

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**COMPOSITION AND METHOD FOR INDUCING AN IMMUNE RESPONSE AGAINST TUMOR-RELATED ANTIGENS**

the specification of which (check only one item below):

- ☐ is attached hereto.  
☐ was filed as United States application  
Serial No. \_\_\_\_\_  
on \_\_\_\_\_  
and was amended  
on \_\_\_\_\_ (if applicable)  
☒ was filed as PCT international application  
Number PCT/US98/07232  
on 10 April 1998  
and was amended under PCT Article 19  
on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate, or of any PCT international application(s) having a filing date before that of the application(s) on which priority is claimed.

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC §119	
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or 365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT application(s) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56(a) which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**COMBINED DECLARATION POWER OF ATTORNEY (CONTINUED)**  
(Includes Reference to PCT International Applications)ATTORNEY'S DOCKET NUMBER  
7636-0013.10**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120:**

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
60/043,301	11 April 1997			X
PCT APPLICATIONS DESIGNATING THE U.S.				
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)		

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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Judy M. Mohr 38,563

LeeAnn Gorthey 37,337

Linda R. Judge 42,702

Michael L. Gencarella P44,703

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Peter J. Dehlinger  
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201	FULL NAME OF INVENTOR	FAMILY NAME <b>Laus</b>	FIRST GIVEN NAME <b>Reiner</b>	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY <b>San Carlos</b>	STATE OF FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>Germany</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2813 Porto Rosa Way</b>	CITY <b>San Carlos</b>	STATE & ZIP CODE/COUNTRY <b>CA, 94070 US</b>
202	FULL NAME OF INVENTOR	FAMILY NAME <b>Ruegg</b>	FIRST GIVEN NAME <b>Curtis</b>	SECOND GIVEN NAME <b>L.</b>
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203	FULL NAME OF INVENTOR	FAMILY NAME <b>Shapero</b>	FIRST GIVEN NAME <b>Michael</b>	SECOND GIVEN NAME <b>H.</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>Mountain View</b>	STATE OF FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>China</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>1885 California Street, #18</b>	CITY <b>Mountain View</b>	STATE & ZIP CODE/COUNTRY <b>CA 94041 US</b>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201

SIGNATURE OF INVENTOR 202

SIGNATURE OF INVENTOR 203

SIGNATURE OF INVENTOR 204

DATE

DATE

DATE

DATE

OCT.11.1999 2:20PM

DEHLINGER &amp; ASSOC. 415 324 0960

NO.666

P.2

Attorney Docket No.: 7636-0013.10

Applicant: Laus, et al.

Serial No.: Not yet Assigned

Filing Date: Concurrently Herewith

For: COMPOSITION AND METHOD FOR INDUCING AN IMMUNE RESPONSE  
AGAINST TUMOR-RELATED ANTIGENSSmall Entity Statement Under37 CFR 1.9(f) and 1.27(c) - Small Business Concern

I hereby declare that I am: \*

- ☐ The owner of the small business concern identified below:
- ☒ An official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Dendreon Corporation

Address of Concern: 3005 First Street  
Seattle, CA 98121

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §121.12, and reproduced in 37 CFR §1.9(d), for purposes of paying reduced fees under 35 U.S.C. §41 in that the number of employees, including those of its affiliates, does not exceed 500 persons and the concern has not assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or licenses, any rights in the invention to any person who could not be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a nonprofit organization under this section. For this section, concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern. I hereby declare that rights under contract or law in the above-identified application have been conveyed to and remain with the small business concern identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as an independent inventor under 37 CFR §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27).

Name: David J. MadalaAddress: 6826 55<sup>th</sup> Ave N.E. Seattle WA 98115

☐ individual    ☐ small business concern    ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR §1.28(b)).

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DEHLINGER &amp; ASSOC. 415 324 0960

NO.666

P.3

Attorney Docket No.: 7636-0013.10

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

NAME OF PERSON SIGNING:

David L. Urdal

DAVID L. URDAL

TITLE OF PERSON SIGNING:

EX. VICE President

ADDRESS OF PERSON SIGNING:

6826 55th Ave N.E. Seattle WA 98115

Signature:

David L. Urdal

Date:

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